

The Winner Takes It All: choosing the “best” binarization algorithm for photographed documents

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Abstract. The recent Time-Quality Binarization Competitions have shown that no single binarization algorithm is good for all kinds of document images and that the time elapsed in binarization varies widely between algorithms and also depends on the document features. On the other hand, document applications for portable devices have space and processing limitations that allow to implement only the “best” algorithm. This paper presents the methodology and assesses the time-quality performance of 61 binarization algorithms to choose the most time-quality efficient one, under two criteria.

Keywords: Smartphone applets · Document binarization · DIB-dataset · Photographed Documents · Binarization competitions.

1 Introduction

Today, half of the population of the world has a smartphone with a built-in digital camera, according with the June 2021 report from the consulting firm Strategy Analytics⁴. Such devices are incredibly versatile and even low cost ones have good quality cameras that allow digitizing document images, that are widely used in a large number of everyday situations that in a recent past photocopying was used.

Binarization, or *thresholding*, is the name given to the conversion process of a color image into its black-and-white (or monochromatic) version. Binary images make most documents more readable and save toner for printing. save storage space [47], communication bandwidth. Binarization also works as a file compression strategy, as the size of binary images is often orders of magnitudes smaller than the original gray or color images. Thresholding is a key preprocessing step for document OCR, classification and indexing. The recent Time-Quality Binarization Competitions [30, 25, 27, 31] have shown that no single binarization

⁴ <https://www.strategyanalytics.com/>

algorithm is good for all kinds of document images and that the time elapsed in binarization varies widely between algorithms and also depends on the document features.

Portable devices are limited in space and users are eager for outputs. Thus, being able to pinpoint which algorithm would fast provide a good quality binary image, capable of being embedded in applications in a certain smartphone model is a valuable information. **This paper assesses 61 binarization algorithms to choose the one that presents the best time-quality trade-off to be implemented in embedded applications in smartphones.** The universe of the tested algorithms is formed by “classical” and recently published binarization algorithms: Akbari₁ [1], Akbari₂ [1], Akbari₃ [1], Bataineh [3], Bernsen [5], Bradley [6], Calvo-Z [7], CLD [41], CNW [40], dSLR [46], DeepOtsu (SL) [12], DiegoPavan (DP) [50], DilatedUNet [27], DocDLink [57], Doc-UNet (WX) [30], ElisaTV [2], Ergina_G [49], Ergina_L [18], Gattal [9], Gosh [4], Howe [13], Huang [14], HuangBCD (AH₁) [28], HuangUnet (AH₂) [28], iNICK (KS₁) [42], Intermodes [38], ISauvola [11], IsoData [53], Jia-Shi [15], Johannsen [16], KSW [17], Li-Tam [22], Lu-Su [32], Mean [10], Mello-Lins [34], Michalak [27], Michalak21₁ (MO₁) [28], Michalak21₂ (MO₃) [28], Michalak21₃ (MO₃) [28], MinError [20], Moments [52], Niblack [36], Nick [19], Otsu [37], Percentile [8], Pun [39], RenyEntropy [43], Sauvola [44], Shanbhag [45], Singh [48], Su-Lu [51], Triangle [56], Vahid (RNB) [28], WAN [35], Wolf [54], Wu-Lu [33], Yen-CC [55], YinYang [27], YinYang21 (JB), [27], Yuleny [30].

The test set used for such an assessment is part of the IAPR DIB dataset (<https://dib.cin.ufpe.br>) including “real-world” offset, laser, and deskjet printed text documents. Such documents were photographed at two different places, with four different models of smartphones widely used today, with their in-built strobe flash *on* and *off*. The methodology presented here may be used to find the most suitable algorithm for other devices, or the same smartphone models under different setups.

2 Quality-Time Evaluation Methods

Two quality measures were used to evaluate the performance of the 61 binarization algorithms assessed here. The first one, made use of Google Vision to perform Optical Character Recognition (OCR) on the documents and applies the Levenshtein distance ($[L_{dist}]$) to the correct number of characters in the document transcription ($\# char$). The error rate is calculated as:

$$([L_{dist}] = (\#char - L_{dist})/\#char.) \quad (1)$$

The second quality measure, P_{err} , compares the proportion between the black-to-white pixels in the scanned and photographed binary documents [24]. One expects that although the photographed and scanned documents have different resolutions, the number of black pixels in a photographed and its scanned

version of a document document will be balanced, somehow. Thus,

$$([P_{err}] = 100 * \frac{abs(PW_{GT} - PW_{bin})}{PW_{GT}}) \quad (2)$$

where PW_{GT} and PW_{bin} are the proportion of white pixels in the ground-truth and the binarized image, respectively, and $abs()$ obtains the absolute value of the difference. The quality evaluation was done in the context of each measure separately. They were ranked using the mean value for the whole dataset.

The processing time evaluation provides the order of magnitude of the time elapsed for binarizing the whole datasets. The training-times for the AI-based algorithms were not computed. The processing device was CPU: Intel(R) Core(TM) i7-10750H CPU @ 2.60GHz, with 32GB RAM and a GPU GeForce GTX 1650 4GB. The algorithms were implemented using two operating systems and different programming languages, for specific hardware platforms such as GPUs:

- **Windows 10 (version 1909), Matlab:** Akbari₁, Akbari₂, Akbari₃, CLD, CNW, ElisaTV, Ergina-Global, Ergina-Local, Gattal, Ghosh, Howe, iNICK, Jia-Shi, Lu-Su, Michalak, MO₁, MO₂, MO₃
- **Linux Pop!_OS 20.10:**
 - **C++ (GCC 10.3):** Bataineh, Bernsen, ISauvola, Niblack, Nick, Otsu, Sauvola, Singh, Su-Lu, WAN, Wolf
 - **Python 2.7:** SL
 - **Java 14:** YinYang, JB, Bradley, daSilva-Lins-Rocha, Huang, Intermodes, IsoData, Johannsen-Bille, Kapur-SW, Li-Tam, Mean, Mello-Lins, Min-Error, Minimum, Moments, Percentile, Pun, RenyEntropy, Shanbhag, Triangle, Wu-Lu, Yen
 - **Python 3.6:** AH₁, AH₂, Calvo-Z, DP, DilatedUNet, DocDLink, WX, RNB, Yuleny

The algorithms were executed on different operating systems (OS), but on the same hardware. For those that could be executed on both OS types, the processing times for each OS was measured and no significant difference was noticed. This behaviour was also observed and reported in [27]. The mean processing time was used in the analysis. The primary purpose is to provide the order of magnitude time of the processing time elapsed. The **SL** algorithm (DeepOtsu) would take weeks to process the images using a CPU; therefore, a NVIDIA Tesla K80 has been used to accelerate the processing. However, an approximation of the CPU processing time is used as reference in order to compare with the other algorithms, each of which was processed using a CPU on the specific platform.

3 Test Set

Document images acquired using mobile phones are harder to binarize if compared with the use of scanners. The distance between the document and the capturing device and the illumination may vary significantly. Other external light sources and the activation or not of the strobe flash may interfere in the quality

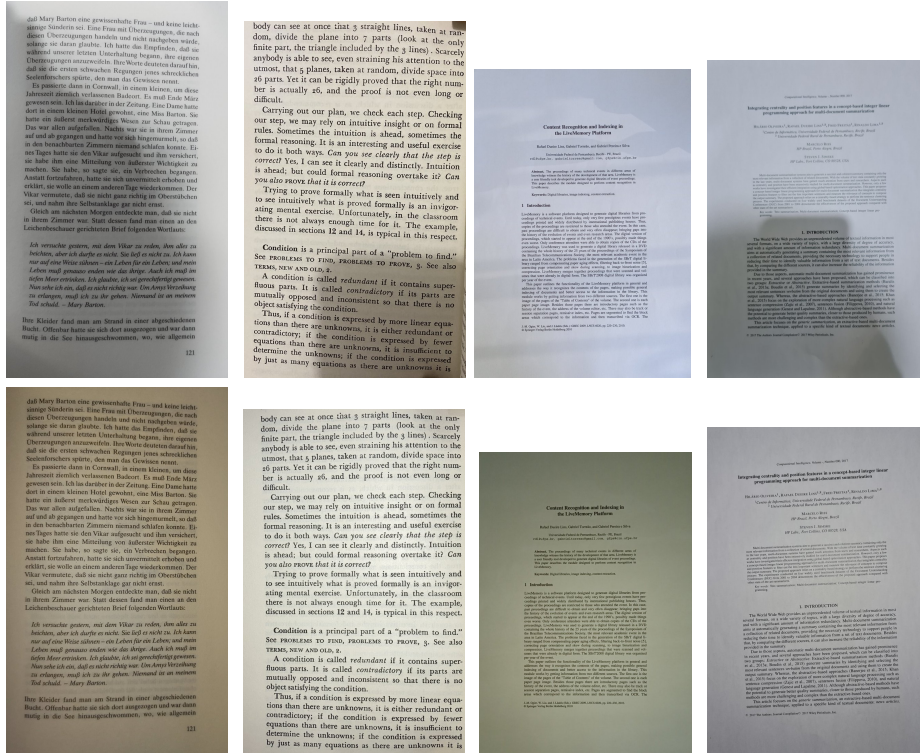


Fig. 1. Samples of the images clustered by device (Motorola G9 Plus, iPhone SE 2, Samsung A10S, Samsung S20) and set-up of the strobe flash (top-line “off”, bottom-line “on”).

of the obtained image. The kind of document images used here are representative of the kind of images that ordinary people take photos of and correspond to the kinds of documents people often used to take photocopies a few years ago. Typically, such documents are text ones with a plain background, printed in either plain white printer or recycled paper. The test set used here, samples of which are presented in Figure 1, is formed by nine documents offset printed book pages, and deskjet and laser printed documents. Very seldom, people take a photo of a historic documents. If that is the case, such a document image tends not to be binarized in the camera itself as historic documents tend to have a darker background, some show back-to-front interference [29] and physical noises [23]. Such images are part of The IAPR DIB-dataset, which encompasses nine documents obtained from four different models of portable cell-phones, widely used today. Besides the device model, the documents in this set were clustered according to having the in-built strobe-flash set as “on” or “off”.

4 Results

Four models of smartphones of different manufacturers were used in this study. The choice of the devices was made to cover mid-price range models of different

Table 1. Summary of specifications of the front camera of the devices studied

	Moto G9	iPhone SE2	Galaxy S20	Galaxy A10S
Megapixels	48	12	64	13
Flash	Dual LED	Quad-LED	Dual LED	Dual LED
Aperture	f/1.8	f/1.8	f/2.0	f1.8
Sensor size	1/2 inch	-	1/1.72 inch	-
Pixel size	0.8 μ m	-	0.8 μ m	-

manufacturers in such a way to be representative of the smartphones used by the majority of the population. The technical specifications of their front cameras are presented in Table 1.

In this paper, for each device tested, three algorithms will be selected:

- (i) the best binarization algorithm for printing, screen reading, or less storage space or claims for less communication bandwidth for transmission.
- (ii) the best for OCR processing binarization algorithm.
- (iii) the overall “winner” - the algorithm that provides the best quality-time trade-off for any sort of binarization application.

The developers of applications for other device models should use the methodology presented here to make a criterious choice of which algorithm(s) to use.

4.1 Motorola Moto G9

The Moto G9 device used in this assessment is an Android 10 smartphone developed by Motorola Mobility⁵, a subsidiary of Lenovo. It was first released in August 2020. The analysis of the data presented on table 2 for the document images in the testset acquired with this smartphone, shows that several algorithms perform very well in terms of the quality of the generated monochromatic image. Two of them compete for the podium in analysing the general quality time trade-off: Michalack [27] and MO₁ [25], both developed by Hubert Michalak and Krzysztof Okarma at the West Pomeranian University of Technology, Poland.

- (i) best for printing: **MO₁**: the difference of the P_{err} with flash on and off makes it slightly better than Michalak, as both are top fast among the top quality algorithms.
- (ii) best for OCR: **Michalak**
- (iii) global “winner”: **Michalak**: it is just as fast as MO₁, but has better quality measures than MO₁.

⁵ <https://www.motorola.com/we/compare-smartphones>

Table 2. Best binarization algorithms using Motorola G9 Plus

Motorola G9 Plus						
OFF				ON		
#	Alg.	P_{err}	Time (s)	Alg.	P_{err}	Time (s)
1	Michalak	0.92	0.06	KS ₁	0.55	3.42
2	MO ₃	0.94	1.41	MO ₁	0.59	0.05
3	Bradley	0.95	0.41	Gosh	0.70	145.16
4	MO ₁	0.97	0.06	Yasin	0.74	1.75
5	ElisaTV	1.06	11.59	ElisaTV	0.83	11.2
6	Yasin	1.14	2.03	MO ₃	0.86	1.34
7	DilatedUNet	1.17	188.27	Bradley	0.91	0.40
8	MO ₂	1.19	3.09	Michalak	0.97	0.05
9	Gosh	1.24	143.09	Singh	1.00	0.44
10	WX	1.25	281.66	Nick	1.12	0.21
11	KS ₂	1.42	3.80	Su-Lu	1.22	2.17
12	DocDLink	1.43	300.18	DilatedUNet	1.24	187.73
13	KS ₁	1.68	3.72	Wolf	1.32	0.29
14	ISauvola	1.72	0.53	WX	1.64	281.16
15	Su-Lu	1.74	2.19	MO ₂	1.65	3.00
#	Alg.	$[L_{dist}]$	Time (s)	Alg.	$[L_{dist}]$	Time (s)
1	KS ₂	0.98	3.80	AH ₁	0.98	398.98
2	MO ₃	0.98	1.41	AH ₂	0.98	91.2
3	Bradley	0.98	0.41	KS ₂	0.98	3.69
4	Michalak	0.98	0.06	MO ₃	0.98	1.34
5	RNB	0.98	46.17	SL	0.98	13666.25
6	WAN	0.98	1.36	Michalak	0.98	0.05
7	ISauvola	0.97	0.53	Bradley	0.98	0.40
8	MO ₂	0.97	3.09	RNB	0.98	45.58
9	MO ₁	0.97	0.06	WAN	0.97	1.35
10	ElisaTV	0.97	11.59	MO ₂	0.97	3.00
11	JB	0.97	1.79	JB	0.97	1.73
12	KS ₁	0.97	3.72	KS ₁	0.97	3.42
13	Gosh	0.97	143.09	MO ₁	0.97	0.05
14	YinYang	0.97	2.08	ISauvola	0.97	0.52
15	Bataineh	0.97	0.16	ElisaTV	0.97	11.2

Figure 2 presents the results of the binarization produced by the top two algorithms for two of the document images produced by the Moto G9 smartphone.

4.2 Samsung A10S

The smartphone Samsung Galaxy A10S⁶ was released around August 2019 and became the second top selling device worldwide in December 2019 and it is still

⁶ https://www.gsmarena.com/samsung_galaxy_a10s-9793.php

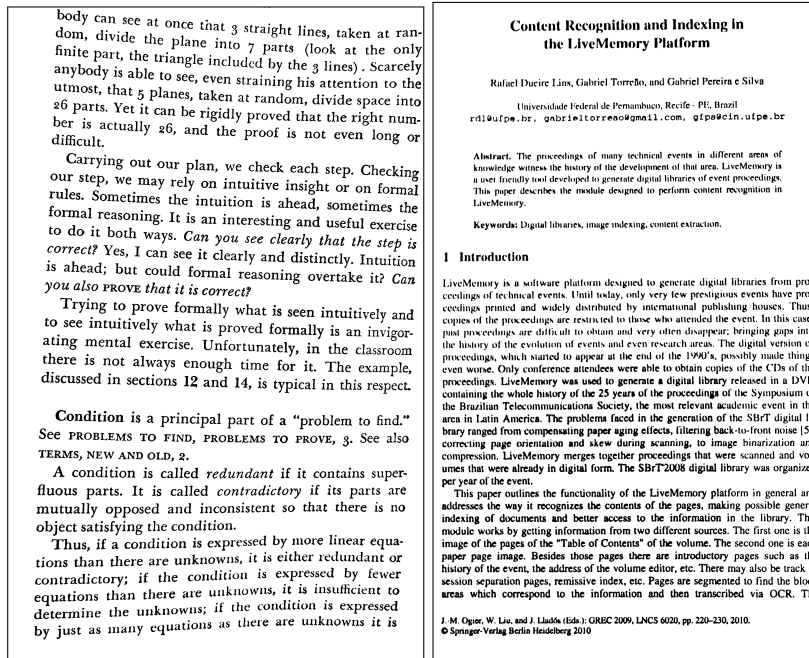


Fig. 2. Result of the binarization with MO_1 algorithm of an offset printed book page with the strobe flash **on** (left) and, using Michalak, a deskjet printed document with the strobe flash **off** (right), both acquired using the Motorola Moto G9

on sale today ⁷ It originally runs an Android 9.0 (Pie), upgradable to Android 11, One UI 3.1. The two assessments made here with the 61 binarization algorithms yielded the data shown on Table 3 for the top 15 algorithms and allow to point as global results:

- (i) best for printing and transmitting: **Michalak** – it has the best P_{err} either with flash on or off
- (ii) best for OCR: **Michalak** – it is the fastest among the smallest $[L_{dist}]$, with value 0.98
- (iii) overall winner: **Michalak** – it is the best either for OCR or printing and transmitting applications

The result of the binarization of two of the test images in the dataset used here processed by Michalak algorithm may be seen in Figure 3.

4.3 Samsung S20

The Samsung Galaxy S20 is another Android-based smartphone designed and manufactured by Samsung. It is the successor model to the successful Galaxy S10

⁷ <https://www.91mobiles.com/hub/best-selling-phone-q3-2019-iphone-xr-11-samsung-galaxy-a10-a50/?pid=33347>

Table 3. Best binarization algorithms using Samsung A10S

Samsung A10S						
OFF				ON		
#	Alg.	P_{err}	Time (s)	Alg.	P_{err}	Time (s)
1	Michalak	0.76	0.05	Michalak	0.76	0.03
2	MO ₂	0.91	1.95	MO ₂	0.91	1.86
3	MO ₁	0.92	0.04	MO ₁	0.92	0.03
4	MO ₃	0.92	0.87	MO ₃	0.92	0.8
5	Bradley	0.94	0.24	Bradley	0.94	0.24
6	Bernsen	1.06	1.98	Bernsen	1.06	1.96
7	ElisaTV	1.16	6.13	ElisaTV	1.16	6.09
8	DocDLink	1.24	173.78	Yasin	1.24	1.29
9	Yasin	1.24	1.46	DocDLink	1.24	173.34
10	ISauvola	1.25	0.31	ISauvola	1.25	0.31
11	Gosh	1.27	80.84	Gosh	1.27	80.66
12	Howe	1.32	37.38	Howe	1.32	37.27
13	WX	1.35	174.81	WX	1.35	174.31
14	Wolf	1.38	0.18	Wolf	1.38	0.18
15	KS ₂	1.4	3.26	KS ₂	1.4	3.31
#	Alg.	$[L_{dist}]$	Time (s)	Alg.	$[L_{dist}]$	Time (s)
1	RNB	0.98	27.77	RNB	0.98	27.86
2	KS ₂	0.98	3.26	AH ₂	0.98	56.78
3	ElisaTV	0.98	6.13	KS ₂	0.98	3.31
4	JB	0.98	1.24	ElisaTV	0.98	6.09
5	ISauvola	0.98	0.31	JB	0.98	1.23
6	Bradley	0.98	0.24	ISauvola	0.98	0.31
7	AH ₂	0.98	59.22	AH ₁	0.98	257.38
8	Akbari ₁	0.98	15.27	Bradley	0.98	0.24
9	Jia-Shi	0.98	15.19	Akbari ₁	0.98	15.18
10	MO ₃	0.98	0.87	Jia-Shi	0.98	15.22
11	Michalak	0.98	0.05	MO ₃	0.98	0.8
12	WAN	0.98	0.82	Michalak	0.98	0.03
13	KS ₁	0.97	3.49	WAN	0.98	0.83
14	YinYang	0.97	1.41	KS ₁	0.97	3.38
15	Gosh	0.97	80.84	SL	0.97	11627.4

and it was released on 11 February 2020 [21]. The analysis of the data presented on table 4 allows one to pinpoint the “best” algorithms in the in terms of image quality-time and OCR-performance and time, and the overall “winner” as:

- (i) best for printing: **MO₁**.
- (ii) best for OCR: **Michalak**
- (iii) the overall “winner”: **MO₁**, P_{err} and the L_{dist} are reasonably small.

Figure 4 shows the monochromatic version of two of the images in this dataset.

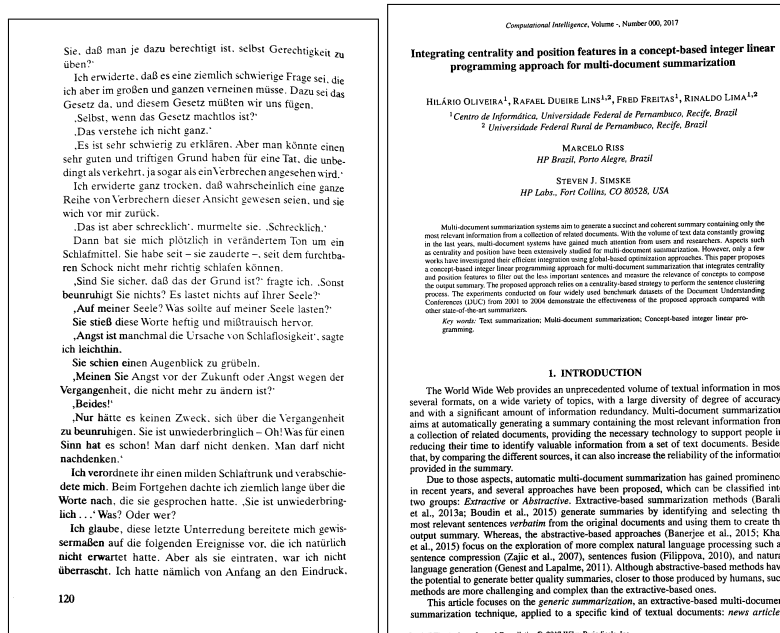


Fig. 3. Result of the binarization with Michalak algorithm of an offset printed book page with the strobe-flash **on** (left) and a **deskjet** printed document with the strobe-flash **off** (right), acquired using the **Samsung A10S**

4.4 Apple iPhone SE

The second-generation iPhone SE (also known as the iPhone SE 2 or the iPhone SE 2020) is a smartphone designed and developed by Apple Inc. It was released on April, 2020 and became one of the top selling smartphone models in 2020 (24.2 million devices sold).⁸ It continues today as one of the top sold mid-price devices. Table 5 presents the results for the assessment of this dataset. As one can see, several “classical” binarization algorithms appear high-up in the quality $Perr$ rank, with very efficient time figures. Taking the formula $Perr_{off} \times T_{off} + Perr_{on} \times T_{on}$ as the way to decide the best algorithm for printing, MO_1 appears top with 0.0972, closely followed by Michalak (0.1194) and Otsu (0,1316). Thus, in this category the winner is MO_1 . The global results are:

- (i) best for printing and transmitting: **MO_1**
- (ii) the best for OCR: **MO_1**
- (iii) the overall winner: **MO_1**

Figure 5 presents two of the images in this dataset binarized with the overall “winner”.

⁸ <https://www.gizmochina.com/2021/02/25/most-shipped-smartphones-2020-omdia/>

Table 4. Best binarization algorithms using Samsung S20

Samsung S20						
OFF				ON		
#	Alg.	P_{err}	Time (s)	Alg.	P_{err}	Time (s)
1	MO₁	0.91	0.05	Gattal	0.66	55.68
2	MO₃	0.92	1.09	IsoData	0.72	0.13
3	Bradley	0.96	0.31	Otsu	0.74	0.02
4	Michalak	0.99	0.05	MO₁	0.79	0.04
5	DilatedUNet	1.06	151.65	Li-Tam	0.84	0.13
6	WX	1.13	279.6	Yasin	0.92	1.47
7	Howe	1.26	49.79	Gosh	0.95	102.95
8	DocDLink	1.27	228.22	MO₃	0.96	0.98
9	Gosh	1.28	120.9	ElisaTV	0.97	7.46
10	KS₁	1.28	3.79	Wolf	1.02	0.22
11	Wolf	1.28	0.23	KS₁	1.05	3.39
12	Yasin	1.28	1.75	Michalak	1.05	0.04
13	Singh	1.29	0.34	Bradley	1.05	0.29
14	MO₂	1.33	2.49	Singh	1.06	0.32
15	Nick	1.37	0.16	Ergina_L	1.06	0.62
#	Alg.	$[L_{dist}]$	Time (s)	Alg.	$[L_{dist}]$	Time (s)
1	MO₃	0.98	1.09	Ergina_G	0.98	0.44
2	RNB	0.98	36.34	KSW	0.98	0.13
3	KS₂	0.98	3.47	Yen-CC	0.98	0.13
4	Michalak	0.98	0.05	Bradley	0.98	0.29
5	ISauvola	0.98	0.41	MO₃	0.98	0.98
6	JB	0.98	1.43	SL	0.98	10319.87
7	Bradley	0.98	0.31	ElisaTV	0.98	7.46
8	WAN	0.98	1.07	IsoData	0.98	0.13
9	ElisaTV	0.98	7.68	Wolf	0.98	0.22
10	Bataineh	0.98	0.12	Su-Lu	0.98	1.62
11	YinYang	0.98	1.64	AH₂	0.98	72.09
12	DocDLink	0.97	228.22	RNB	0.98	34.71
13	MO₁	0.97	0.05	AH₁	0.98	319.31
14	MO₂	0.97	2.49	RenyEntropy	0.98	0.13
15	AH₂	0.97	75.01	MO₁/ Michalak	0.98	0.04

5 Conclusions

Smartphones have drastically changed the way of life of people worldwide with their omnipresence, growing computational power and high-quality embedded cameras. Photographing documents is now a simple way of digitizing everyday documents and book pages for later referencing and even meeting legal requirements in many countries. Document binarization plays a key role in many document processing pipelines, besides yielding smaller documents for storing and sending via networks better readable and more economic to print. Recent document binarization competitions [30] [27] [27] [31] show that no single algorithm is the best for all kinds of documents. Each smartphone model has a camera with

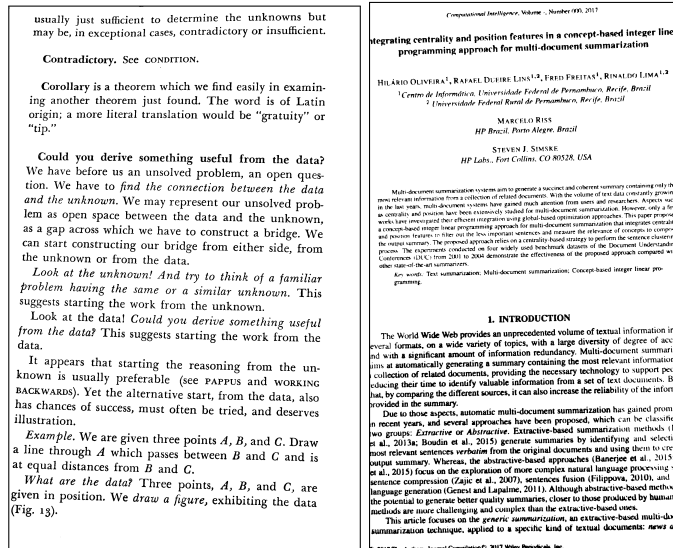


Fig. 4. Result of the binarization with MO₁ algorithm of an offset printed book page with the strobe flash on (left) and a deskjet printed document with the stobeflash off (right), acquired using the Samsung S20

different features making the binarization of photographed document images a challenging task.

Applications that run on smartphones need to be light due to the hardware limitations of a device that needs to execute several processes simultaneously. Thus a binarization algorithm to be used in an embedded smartphone application must have an excellent quality-time balance. This paper presents a methodology to choose such an algorithm. Four popular smartphone models of three different manufacturers were quality-time assessed using 61 of the possibly best binarization algorithms of today, pointing out the “best” algorithm for printing, the “best” algorithm for OCR applications, and the global “winner” for each of those devices.

The recent paper [26] shows that feeding binarization algorithms with the image, their RGB-components or the grayscale converted image yield to differences in their quality-time performance. That analysis would multiply the number of the assessed algorithms by five, thus is left as one of the lines for further work.

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Table 5. Best binarization algorithms using Apple iPhone SE

Apple iPhone SE 2						
OFF				ON		
#	Alg.	P_{err}	Time (s)	Alg.	P_{err}	Time (s)
1	Yasin	0.72	1.96	IsoData	0.60	0.12
2	Nick	0.79	0.17	Otsu	0.60	0.02
3	Sauvola	0.79	0.17	Sauvola	0.73	0.18
4	Singh	0.79	0.30	Gattal	0.74	54.59
5	Gosh	0.79	88.74	Gosh	0.77	85.64
6	JB	0.88	1.27	Yasin	0.81	1.55
7	YinYang	0.94	1.70	MO ₁	0.81	0.04
8	Wolf	0.95	0.23	Singh	0.81	0.29
9	KS ₁	0.96	4.23	Wolf	0.84	0.24
10	ElisaTV	1.04	5.00	Nick	0.84	0.17
11	Su-Lu	1.04	1.77	JB	0.85	1.27
12	MO ₁	1.08	0.06	ElisaTV	0.90	3.44
13	KS ₃	1.21	4.70	YinYang	0.94	1.78
14	Michalak	1.31	0.06	Michalak	1.02	0.04
15	Bradley	1.36	0.34	KS ₁	1.03	3.30
#	Alg.	$[L_{dist}]$	Time (s)	Alg.	$[L_{dist}]$	Time (s)
1	KS ₁	0.98	4.23	YinYang	0.98	1.78
2	Akbari ₁	0.98	21.76	SL	0.98	10,310.89
3	Jia-Shi	0.98	20.74	Yasin	0.97	1.55
4	Singh	0.98	0.30	KS ₂	0.97	3.39
5	Wolf	0.98	0.23	Singh	0.97	0.29
6	Wu-Lu	0.98	0.13	Nick	0.97	0.17
7	Bataineh	0.98	0.13	KS ₃	0.97	4.65
8	AH ₁	0.98	277.31	Bataineh	0.97	0.13
9	ElisaTV	0.98	5.00	RNB	0.97	33.9
10	Calvo-Z	0.98	9.83	Ergina _G	0.97	0.43
11	MO ₂	0.98	2.56	Howe	0.97	55.39
12	RNB	0.98	33.45	Li-Tam	0.97	0.13
13	Nick	0.98	0.17	MO ₂	0.97	2.28
14	MO ₁	0.98	0.06	Ergina _L	0.97	0.59
15	Bradley	0.98	0.34	DocDLink	0.97	191.72
37	Yen-CC	0.97	0.13	MO ₁	0.97	0.04

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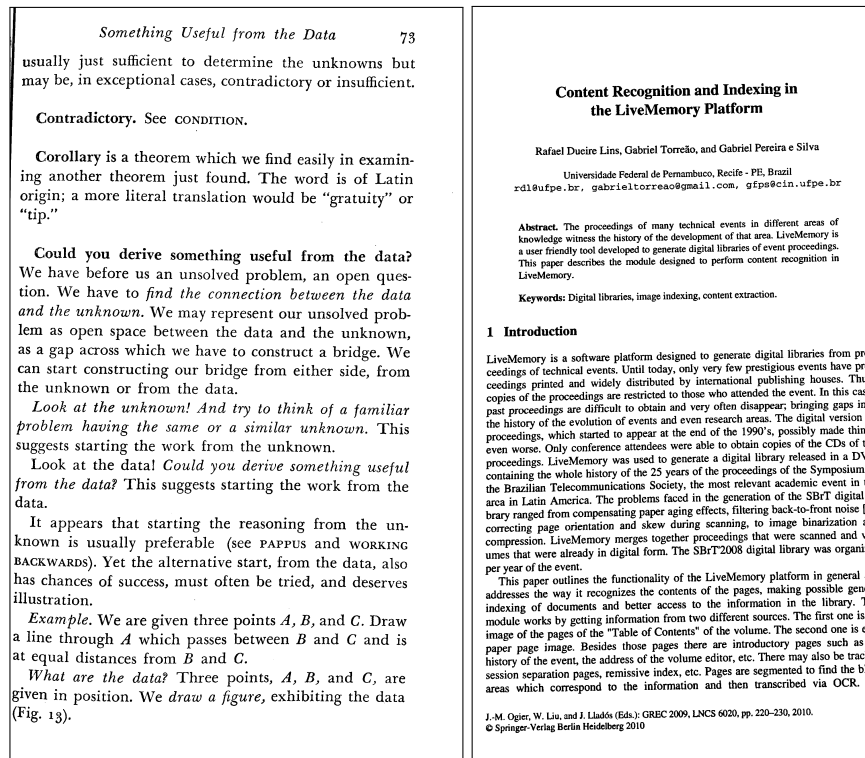


Fig. 5. Result of the binarization with MO_1 algorithm of an offset printed book page with the strobe flash **on** (left) and, a **deskjet** printed document with the strobe flash **off** (right), acquired using the **iPhone SE 2**

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